



To,  
The Secretary  
The 'Central Electricity Regulatory Commission,  
3rd & 4th Floor, Chanderlok Building,  
36-Janpath,  
New Delhi-110001

14<sup>th</sup> November 2020

**Subject: Submission of Form-IV for the month of October 2020**

Dear Sir,

We hereby would like to submit form IV indicating the transactions carried out by us for the month of October 2020.

Assuring you of our best attention.

Warm Regards,

For Atria Energy Services Pvt. Ltd.



(Authorised Signatory)

**ATRIA ENERGY SERVICES PRIVATE LIMITED**

Regd. & Corporate Office Atria Power: 1st Floor, No.11, Commissariat Road, Bengaluru - 560025

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CIN : U40107KA2016PTC094330 | [www.atriapower.com](http://www.atriapower.com) | [info@atriapower.com](mailto:info@atriapower.com)



Before the Central Electricity Regulatory Commission, New Delhi in the matter of: -

License No. 78/Trading License/2017/CERC dated 20<sup>th</sup> June 2017 granted to  
Aria Energy Services Pvt. Ltd.  
for Inter State Trading in Electricity.

#### AFFIDAVIT

I, Puneet Goel S/o Shri R.N. Goyal aged about 45 years residing at Ranka Park Apartments, Richmond Circle, Bangalore do hereby solemnly affirm and state as follows:

- I am working as Head Operations in Atria Energy Services Pvt. Ltd., the licensee, and I am duly authorized by the licensee to make this affidavit.
- That in term of the Hon'ble Commission's regulation 9(b) of the Regulations for Procedure; Terms and Conditions for grant of Trading Licence and other related matters, 2009 issued vide Notification dated 14<sup>th</sup> February, 2009, information pertaining to performance details in Form-IV are hereby enclosed here with (Form IVA, IVB, IVC and abbreviations). The information enclosed is given for the month of October 2020.

  
DEPONENT

#### Verification

Verified at Bangalore on this 14<sup>th</sup> Day of November 2020 that the contents of the above affidavit are true to my knowledge, no part of it is false and nothing material has been concealed therein.

  
DEPONENT

Summary Sheet for the October 2020

Sr. No.	Formats	Type of Transactions	Page No	Transaction Status/Contract Information (Yes/No)*
1	FormIV-A	Short-term Inter-State Transactions of Electricity by Trading Licensees (RTC)	1	No
2	FormIV-B	Short-term Inter-State Transactions of Electricity by Trading Licensees (Peak)	2	No
3	FormIV-C	Short-term Inter-State Transactions of Electricity by Trading Licensees (Off-Peak)	3	No
4	FormIV-D	Long-term Inter-State Transactions of Electricity by Trading Licensees	4	No
5	FormIV-E	Intra-State Transactions of Electricity by Trading Licensees	5	Yes
6	FormIV-F	Day Ahead Power Exchange Transactions of Electricity by Trading Licensees	6	No
7	FormIV-G	Term Ahead Power Exchange Transactions of Electricity by Trading Licensees	7	No
8	FormIV-H	Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees	8	No
9	FormIV-I	Open Position of Inter-State Electricity Contracts by Trading Licensees	9	No
10	FormIV-J	Details of OTC Contracts executed in Inter-State	10	No

*\*If the transaction status is "Yes", you are required to submit the details in the prescribed format/s as provided in the trading regulations. If the transaction status is "No/Nil" then you need not submit that format/s with No/NIL reports.*



## VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES (RTC\*)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. &amp; Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: October 2020

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State			
<b>A</b>	<b>Inter State Trading Transactions</b>													
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>B</b>	<b>Inter State Trading Transactions through Swapping or Banking Arrangement</b>													
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>C</b>	<b>Cross Border Transactions</b>													
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

\*RTC= Round The Clock (24 Hours i.e. From 00:00 to 24:00)



## VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES ( Peak\*)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.  
 License Details (No. & Date): 78/Trading License/2017/CERC, Dated: 20th June 2017  
 Month: October 2020

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)	
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State				
<b>A</b>	Inter State Trading Transactions														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>B</b>	Inter State Trading Transactions through Swapping or Banking Arrangement														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>C</b>	Cross Border Transactions														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

\*Peak= Peak Period (Evening Peak from 17:00hrs to 23:00hrs).



## VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES (Other than Peak &amp; RTC)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. &amp; Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: October 2020

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State			
<b>A</b>	<b>Inter State Trading Transactions</b>													
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>B</b>	<b>Inter State Trading Transactions through Swapping or Banking Arrangement</b>													
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>C</b>	<b>Cross Border Transactions</b>													
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A



## VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. &amp; Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: October 2020

Sr. No.	Period of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Sale Price (Rs Per kWh)
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)		Name of Seller	Category	State	Name of Buyer	Category	State	
<b>A</b>	<b>Inter State Trading Transactions</b>									
1	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>B</b>	<b>Inter State Trading Transactions through Swapping or Banking Arrangement</b>									
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>C</b>	<b>Cross Border Transactions</b>									
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A



## Form IV-E

## Intra-State Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Month: October 2020

Sr. No.	Total Transacted Volume (Mus)	State
<b>Short Term transactions</b>		
1	N/A	N/A
2	N/A	N/A
3	N/A	N/A
4	N/A	N/A
<b>Long Term Transactions</b>		
1	2.000	Karnataka
2	0.250	Karnataka
3	0.093	Karnataka
4	0.380	Karnataka
5	1.184	Karnataka
6	0.600	Karnataka
7	0.600	Karnataka
8	0.625	Karnataka
9	0.000	Karnataka
10	0.102	Karnataka
11	1.420	Karnataka
12	0.210	Karnataka
13	0.050	Karnataka
14	0.155	Karnataka
15	1.100	Karnataka
16	0.910	Karnataka
17	0.315	Karnataka
18	0.800	Karnataka
19	0.460	Karnataka
20	0.095	Karnataka
21	0.025	Karnataka
22	0.340	Karnataka
23	0.400	Karnataka
24	0.000	Karnataka
25	0.600	Karnataka
26	0.000	Karnataka





Form IV-F

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.  
 Month: October 2020

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01-08-2020	0.00000000	N/A	N/A	N/A	N/A
2	02-08-2020	0.00000000	N/A	N/A	N/A	N/A
3	03-08-2020	0.00000000	N/A	N/A	N/A	N/A
4	04-08-2020	0.00000000	N/A	N/A	N/A	N/A
5	05-08-2020	0.00000000	N/A	N/A	N/A	N/A
6	06-08-2020	0.00000000	N/A	N/A	N/A	N/A
7	07-08-2020	0.00000000	N/A	N/A	N/A	N/A
8	08-08-2020	0.00000000	N/A	N/A	N/A	N/A
9	09-08-2020	0.00000000	N/A	N/A	N/A	N/A
10	10-08-2020	0.00000000	N/A	N/A	N/A	N/A
11	11-08-2020	0.00000000	N/A	N/A	N/A	N/A
12	12-08-2020	0.00000000	N/A	N/A	N/A	N/A
13	13-08-2020	0.00000000	N/A	N/A	N/A	N/A
14	14-08-2020	0.00000000	N/A	N/A	N/A	N/A
15	15-08-2020	0.00000000	N/A	N/A	N/A	N/A
16	16-08-2020	0.00000000	N/A	N/A	N/A	N/A
17	17-08-2020	0.00000000	N/A	N/A	N/A	N/A
18	18-08-2020	0.00000000	N/A	N/A	N/A	N/A
19	19-08-2020	0.00000000	N/A	N/A	N/A	N/A
20	20-08-2020	0.00000000	N/A	N/A	N/A	N/A
21	21-08-2020	0.00000000	N/A	N/A	N/A	N/A
22	22-08-2020	0.00000000	N/A	N/A	N/A	N/A
23	23-08-2020	0.00000000	N/A	N/A	N/A	N/A
24	24-08-2020	0.00000000	N/A	N/A	N/A	N/A
25	25-08-2020	0.00000000	N/A	N/A	N/A	N/A
26	26-08-2020	0.00000000	N/A	N/A	N/A	N/A
27	27-08-2020	0.00000000	N/A	N/A	N/A	N/A
28	28-08-2020	0.00000000	N/A	N/A	N/A	N/A
29	29-08-2020	0.00000000	N/A	N/A	N/A	N/A
30	30-08-2020	0.00000000	N/A	N/A	N/A	N/A
31	31-08-2020	0.00000000	N/A	N/A	N/A	N/A
Sr No	Name of the Client	IEX		PXIL		
		Margin charged when MCP is lesser than or equal to Rs 3/Kwh (Rs/kWh)	Margin charged when MCP is greater than Rs 3/Kwh (Rs/kWh)	Margin charged when MCP is lesser than or equal to Rs 3/Kwh (Rs/kWh)	Margin charged when MCP is greater than Rs 3/Kwh (Rs/kWh)	
1	Open Access Consumer	≤ 0.04	≤ 0.04	N/A	N/A	



Form IV-G

Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Month: October 2020

Sr.No	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased from		Sold to		Transaction Price (Rs/Kwh)	Trading Margin (Rs/kWh)
	Start date	End date	Start Time	End Time		Name of Seller	State	Name of Buyer	State		
	(DD/MM/YYYY)	(DD/MM/YYYY)	(HH:MM)	(HH:MM)							
<b>A</b>	<b>Intra-Day Contracts</b>										
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
<b>B</b>	<b>Day-Ahead Contingency Contracts</b>										
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
<b>C</b>	<b>Daily Contracts</b>										
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
<b>D</b>	<b>Weekly Contracts</b>										
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A



Form IV-H

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Date of Trading :

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated Entities)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (Rs/REC)	Trading Margin (Rs/kWh)
<b>Non Solar RECs</b>						
1						
2						
3						
4						
5						
6						
7						
8						
9						
<b>Obligated Entities</b>						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						



Form IV-I

Open Position of Inter-State Electricity Contracts by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Month: October 2020

Long Position of Trader (Purchased but not sold)				
Sr.No	Date of Contract (DD/MM/YYYY)	Uncontracted tenure (open position) in Days/Months	Uncontracted Volume (MUs)	Purchase Price (Rs/kwh)
1	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A
4	N/A	N/A	N/A	N/A

Short Position of Trader (Purchased but not sold)				
Sr.No	Date of Contract (DD/MM/YYYY)	Uncontracted tenure (open position) in Days/Months	Uncontracted Volume (MUs)	Purchase Price (Rs/kwh)
1	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A
4	N/A	N/A	N/A	N/A



From IV-J

Details of Over the Counter (OTC) Contracts Executed by Electricity Traders In Inter- State Market

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Contracted Week	From	To

Date:

Sl No	Contract Date	Purchase Price (Rs/kWh)	Sale Price (Rs/kWh)	Contracted Quantity (MU)	Period of Contract		Purchased from		Sold to		
					Power Schedule Start Date	Power Schedule End Date	Name	State	Name	State	
<b>A</b>	Round The Clock										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
<b>B</b>	Peak										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
<b>C</b>	Other than RTC & Peak										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	



### Abbreviations Used

S.NO.	CONSTITUENT	FULL NAME	CATEGORY	STATE
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				

