



16<sup>th</sup> October 2018

To,  
The Secretary  
The 'Central Electricity Regulatory Commission,  
3rd & 4th Floor, Chanderlok Building,  
36-Janpath,  
New Delhi-110001

Dear Sir,

**Subject: Submission of Form-IV for the month of September 2018**

We hereby would like to submit form IV indicating the transactions carried out by us for the month of September 2018.

Assuring you of our best attention.

Warm Regards,

For **Atria Energy Services Pvt. Ltd.**  
For Atria Energy Services Pvt. Ltd.

**(Authorised Signatory)**

**ATRIA ENERGY SERVICES PRIVATE LIMITED**

Regd. & Corporate Office Atria Power: 1st Floor, No.11, Commissariat Road, Bengaluru - 560025

Ph.: +91-80-080-49411411 | Fax: +91-80-080-49411499

CIN : U40107KA2016PTC094330 | www.atriapower.com | info@atriapower.com



Before the Central Electricity Regulatory Commission, New Delhi In the matter of:-

License No. 78/Trading License/2017/CERC dated 20<sup>th</sup> June 2017 granted to  
Aria Energy Services Pvt. Ltd.  
for Inter State Trading in Electricity.

#### AFFIDAVIT

I, Amit Kumar S/o Shri A.K. Thakur aged about 37 years residing at Shobha Morzaria Granduer, No- 4/1, Bannerghatta Road, Dairy Circle, Bangalore 560029 do hereby solemnly affirm and state as follows:

- I am working as Head Operations in Atria Energy Services Pvt. Ltd., the licensee, and I am duly authorized by the licensee to make this affidavit.
- That in term of the Hon'ble Commission's regulation 9(b) of the Regulations for Procedure; Terms and Conditions for grant of Trading Licence and other related matters, 2009 issued vide Notification dated 16th February, 2009, information pertaining to performance details in Form-IV are hereby enclosed here with (Form IVA, IVB, IVC and abbreviations). The information enclosed is given for the **month of September 2018**.

*Amit Kumar*  
DEPONENT

#### Verification

Verified at Bangalore on this 17th Day of October 2018 that the contents of the above affidavit are true to my knowledge, no part of it is false and nothing material has been concealed therein.



SWORN TO BEFORE ME  
*N. Narayana Swamy*  
N. NARAYANA SWAMY, B.A., LL.B.  
ADVOCATE & NOTARY  
No. 17, Srigandha Nilaya  
Govindappa Layout Near Anna Bar  
R.M.V. II Stage, Nagashettihalli  
BANGALORE-560 094

*Amit Kumar*  
DEPONENT

17 OCT 2018

Summary Sheet for the September 2018

Sr. No.	Formats	Type of Transactions	Page No	Transaction Status/Contract Information (Yes/No)*
1	Form IV-A	Short-term Inter-State Transactions of Electricity by Trading Licensees (RTC)	1	No
2	Form IV-B	Short-term Inter-State Transactions of Electricity by Trading Licensees (Peak)	2	No
3	Form IV-C	Short-term Inter-State Transactions of Electricity by Trading Licensees (Off-Peak)	3	No
4	Form IV-D	Long-term Inter-State Transactions of Electricity by Trading Licensees	4	No
5	Form IV-E	Intra-State Transactions of Electricity by Trading Licensees	5	Yes
6	Form IV-F	Day Ahead Power Exchange Transactions of Electricity by Trading Licensees	6	No
7	Form IV-G	Term Ahead Power Exchange Transactions of Electricity by Trading Licensees	7	No
8	Form IV-H	Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees	8	No
9	Form IV-I	Open Position of Inter-State Electricity Contracts by Trading Licensees	9	No
10	Form IV-J	Details of OTC Contracts executed in Inter-State	10	No

\*If the transaction status is "Yes", you are required to submit the details in the prescribed format/s as provided in the trading regulations. If the transaction status is "No/Nil" then you need not submit that format/s with No/NIL reports.



For Atria Energy Services Pvt. Ltd.  
  
 Authorised Signatory

## VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES (RTC\*)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. &amp; Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: September 2018

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)	
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State				
A	Inter State Trading Transactions														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
B	Inter State Trading Transactions through Swapping or Banking Arrangement														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
C	Cross Border Transactions														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

\*RTC= Round The Clock (24 Hours i.e. From 00:00 to 24:00)

For Atria Energy Services Pvt. Ltd.


  
Authorised Signatory


## VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES ( Peak\*)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. &amp; Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: September 2018

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)	
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State				
A	Inter State Trading Transactions														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
B	Inter State Trading Transactions through Swapping or Banking Arrangement														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
C	Cross Border Transactions														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

\*Peak= Peak Period (Evening Peak from 17:00hrs to 23:00hrs).

For Atria Energy Services Pvt. Ltd.

Authorised Signatory



## VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES (Other than Peak &amp; RTC)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.  
License Details (No. & Date): 78/Trading License/2017/CERC, Dated: 20th June 2017  
Month: September 2018

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)	
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State				
<b>A Inter State Trading Transactions</b>															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>B Inter State Trading Transactions through Swapping or Banking Arrangement</b>															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>C Cross Border Transactions</b>															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*Atria Energy Services Pvt. Ltd.*  
Authorised Signatory



## VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. &amp; Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: September 2018

Sr. No.	Period of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Sale Price (Rs Per kWh)
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)		Name of Seller	Category	State	Name of Buyer	Category	State	
<b>A Inter State Trading Transactions</b>										
1	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>B Inter State Trading Transactions through Swapping or Banking Arrangement</b>										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>C Cross Border Transactions</b>										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

For Atria Energy Services Pvt. Ltd.

Authorised Signatory



Form IV-E

Intra-State Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.  
 Month: September 2018

Sr. No.	Total Transacted Volume (Mus)	State	
		Short Term transactions	Long Term Transactions
1	N/A	N/A	Karnataka
2	N/A	N/A	Karnataka
3	N/A	N/A	Karnataka
4	N/A	N/A	Karnataka
1	2.200		Karnataka
2	0.278		Karnataka
3	0.100		Karnataka
4	0.415		Karnataka
5	1.035		Karnataka
6	0.743		Karnataka
7	0.713		Karnataka
8	0.900		Karnataka
9	0.767		Karnataka
10	0.130		Karnataka
11	1.250		Karnataka
12	0.412		Karnataka
13	0.145		Karnataka
14	0.380		Karnataka
15	1.580		Karnataka
16	0.400		Karnataka
17	0.400		Karnataka
18	1.080		Karnataka
19	0.100		Karnataka
20	0.240		Karnataka
21	0.340		Karnataka

*[Signature]*  
 For Atria Energy Services Pvt. Ltd.  
 Authorised Signatory





## Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Month: September 2018

Sr No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01-09-2018	0.00000000	N/A	N/A	N/A	N/A
2	02-09-2018	0.00000000	N/A	N/A	N/A	N/A
3	03-09-2018	0.00000000	N/A	N/A	N/A	N/A
4	04-09-2018	0.00000000	N/A	N/A	N/A	N/A
5	05-09-2018	0.00000000	N/A	N/A	N/A	N/A
6	06-09-2018	0.00000000	N/A	N/A	N/A	N/A
7	07-09-2018	0.00000000	N/A	N/A	N/A	N/A
8	08-09-2018	0.00000000	N/A	N/A	N/A	N/A
9	09-09-2018	0.00000000	N/A	N/A	N/A	N/A
10	10-09-2018	0.00000000	N/A	N/A	N/A	N/A
11	11-09-2018	0.00000000	N/A	N/A	N/A	N/A
12	12-09-2018	0.00000000	N/A	N/A	N/A	N/A
13	13-09-2018	0.00000000	N/A	N/A	N/A	N/A
14	14-09-2018	0.00000000	N/A	N/A	N/A	N/A
15	15-09-2018	0.00000000	N/A	N/A	N/A	N/A
16	16-09-2018	0.00000000	N/A	N/A	N/A	N/A
17	17-09-2018	0.00000000	N/A	N/A	N/A	N/A
18	18-09-2018	0.00000000	N/A	N/A	N/A	N/A
19	19-09-2018	0.00000000	N/A	N/A	N/A	N/A
20	20-09-2018	0.00000000	N/A	N/A	N/A	N/A
21	21-09-2018	0.00000000	N/A	N/A	N/A	N/A
22	22-09-2018	0.00000000	N/A	N/A	N/A	N/A
23	23-09-2018	0.00000000	N/A	N/A	N/A	N/A
24	24-09-2018	0.00000000	N/A	N/A	N/A	N/A
25	25-09-2018	0.00000000	N/A	N/A	N/A	N/A
26	26-09-2018	0.00000000	N/A	N/A	N/A	N/A
27	27-09-2018	0.00000000	N/A	N/A	N/A	N/A
28	28-09-2018	0.00000000	N/A	N/A	N/A	N/A
29	29-09-2018	0.00000000	N/A	N/A	N/A	N/A
30	30-09-2018	0.00000000	N/A	N/A	N/A	N/A
Sr No	Name of the Client	Margin charged when MCP is lesser than or equal to Rs 3/kwh (Rs/kWh)	IEX		PXIL	
			Margin charged when MCP is greater than Rs 3/kwh (Rs/kWh)	Margin charged when MCP is lesser than or equal to Rs 3/kwh (Rs/kWh)	Margin charged when MCP is greater than Rs 3/kwh (Rs/kWh)	Margin charged when MCP is greater than Rs 3/kwh (Rs/kWh)
1	Open Access Consumer	≤ 0.04	≤ 0.04	N/A	N/A	N/A

For Atria Energy Services Pvt. Ltd.

Authorised Signatory



Form IV-G

Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.  
 Month: September 2018

Sr.No	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased from		Sold to		Transaction Price (Rs/Kwh)	Trading Margin (Rs/kWh)
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	State	Name of Buyer	State		
<b>A</b>	<b>Intra-Day Contracts</b>										
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
<b>B</b>	<b>Day-Ahead Contingency Contracts</b>										
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
<b>C</b>	<b>Daily Contracts</b>										
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
<b>D</b>	<b>Weekly Contracts</b>										
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A

For Atria Energy Services Pvt. Ltd.



Authorised Signatory

**Form IV-H**

**Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees**

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Date of Trading :

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated Entities)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (Rs/REC)	Trading Margin (Rs/kWh)
<b>Non Solar RECs</b>						
1						
2						
3						
4						
5						
6						
7						
8						
9						
<b>Obligated Entities</b>						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

For Atria Energy Services Pvt. Ltd.

*[Handwritten Signature]*  
 Authorised Signatory



Form IV-I

Open Position of Inter-State Electricity Contracts by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Month: September 2018

Long Position of Trader (Purchased but not sold)				
Sr.No	Date of Contract (DD/MM/YYYY)	Uncontracted tenure (open position) in Days/Months	Uncontracted Volume (MUs)	Purchase Price (Rs/kwh)
1	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A
4	N/A	N/A	N/A	N/A

Short Position of Trader (Purchased but not sold)				
Sr.No	Date of Contract (DD/MM/YYYY)	Uncontracted tenure (open position) in Days/Months	Uncontracted Volume (MUs)	Purchase Price (Rs/kwh)
1	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A
4	N/A	N/A	N/A	N/A

For Atria Energy Services Pvt. Ltd.

Authorised Signatory



From IV-J

Details of Over the Counter (OTC) Contracts Executed by Electricity Traders In Inter- State Market

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Contracted Week	From	To

Date:

Sl No	Contract Date	Purchase Price (Rs/kWh)	Sale Price (Rs/kWh)	Contracted Quantity (MU)	Period of Contract		Purchased from		Sold to		
					Power Schedule Start Date	Power Schedule End Date	Name	State	Name	State	
A	Round The Clock										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
B	Peak										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
C	Other than RTC & Peak										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

For Atria Energy Services Pvt. Ltd.

*[Handwritten Signature]*  
Authorised Signatory



### Abbreviations Used

S.NO.	CONSTITUENT	FULL NAME	CATEGORY	STATE
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				



For Atria Energy Services Pvt. Ltd.

*[Handwritten Signature]*  
 Authorised Signatory